

Please fill out all the blanks, not scribbles or amendments. If it does not apply write N.A.

☐ First time ☐ Update ☐ Co-signer (Select when apply for credit)

1. General Information

Legal name of the company or name of person			ID number
Indicate the countries in which you have tax obligations:	#	Country of tax residence	TIN ¹
	1		
	2		
Type of entity		Number of employees	Number of branches
<input type="checkbox"/> Private <input type="checkbox"/> Government <input type="checkbox"/> Others (please specify) _____			
¿Is a nonprofit entity?	Business activity (brief description including product/service description)		
<input type="checkbox"/> Yes <input type="checkbox"/> No			
Physical address		City	Country
Zip code	Phone(s) number(s)	E-mail	Website
Name of the monitoring and surveillance authority that supervises your activity			

2. Kind of relationship with Bancóldex

<input type="checkbox"/> Treasury operations	<input type="checkbox"/> Liquidex	<input type="checkbox"/> Credit
<input type="checkbox"/> Foreign trade	<input type="checkbox"/> International banking operations	<input type="checkbox"/> Investment (C.D.T. - time deposits, bonds)
<input type="checkbox"/> Discount of negotiable instruments	<input type="checkbox"/> Supplier of goods or services	<input type="checkbox"/> Others, which: _____

3. Legal Representative

First name	Second name	Last name	Second last name
Type and ID number or equivalent	Name of position	E-mail	
Address	City	Country	Phone(s) number(s)
Indicate if any of the following apply to you			
Politically Exposed Person, Colombian Decree 1674 of 2016 ²	Legal representative of an international organization ³	Persons with public recognition ⁴	
<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

4. Financial information (of the last tax period)

Complete figures / the sums must correspond to the figures of the financial statements				
Date of information MONTH/DAY/ YEAR	Type of currency	Annual incomes	Month incomes (average)	Month expenses (average)
Total assets	Total liabilities	Equity	Describe other incomes different from the main business	Amount other incomes

(1) TIN corresponds to the tax identification number issued by the competent authority in the country in which the natural person or legal entity is a taxpayer.

(2) According to the Colombian Decree 1674 of 2016, natural persons that are public servants or in the last two year have had this position.

(3) International Organization is an entity established through official political agreements between its Member States, which have the status of international treaties; such as the United Nations (UN), OAS (Organization of American States), NATO (North Atlantic Treaty Organization), among others.

(4) People widely known(local or internationally) for their activity and jobs in areas such as arts, entertainment, sports, science, Heads of State, Heads of Government, or ministers, among others.

5. Legal information

Date of incorporation	Incorporation expiration date	City	Country
MONTH/DAY/ YEAR			

6. Stockholders

6.1 Stockholders

¿Are you listed on a stock exchange?	If yes, indicate	Name of the stock exchange	Stock symbol
<input type="checkbox"/> Yes <input type="checkbox"/> No			

In case your stocks / shares are listed on a stock exchange, please skip this section and file section 6.2 Other related parties. On contrary, list the partners, shareholders, associates, contributors or equivalents whose direct participation⁵ exceeds 5%. In case the space is not sufficient and / or the partners, shareholders or equivalents above mentioned are legal entities, please list in the Annex "Form of Related Parties - legal entity" the required information of them, as well as the information of their beneficial owner ⁶ In addition, you have the possibility to enclose an optional document that would contain the same information of partners, shareholders or equivalents and beneficial owner. The legal representative or the external auditor must sign this optional document.

In case that the customer is going to open a savings account and the shareholder or beneficial owner has tax obligations in a country other than Colombia, you must fill the field information (Date of birth / incorporation, No. TIN, country of tax residence and address).

1	Full Name		ID type	ID number or equivalent	Country (Birth or incorporation)	% participation
	If it is listed on the stock exchange indicate		¿It is PEP? ⁷	Birth/ Incorporation date	No. TIN	Country of tax residence
	Name of the stock exchange	Stock symbol				
			<input type="checkbox"/> Yes <input type="checkbox"/> No	MONTH/DAY/ YEAR		
Residence address				City	Country	
2	Full Name		ID type	ID number or equivalent	Country (Birth or incorporation)	% participation
	If it is listed on the stock exchange indicate		¿It is PEP? ⁷	Birth/ Incorporation date	No. TIN	Country of tax residence
	Name of the stock exchange	Stock symbol				
			<input type="checkbox"/> Yes <input type="checkbox"/> No	MONTH/DAY/ YEAR		
Residence address				City	Country	
3	Full Name		ID type	ID number or equivalent	Country (Birth or incorporation)	% participation
	If it is listed on the stock exchange indicate		¿It is PEP? ⁷	Birth/ Incorporation date	No. TIN	Country of tax residence
	Name of the stock exchange	Stock symbol				
			<input type="checkbox"/> Yes <input type="checkbox"/> No	MONTH/DAY/ YEAR		
Residence address				City	Country	
4	Full Name		ID type	ID number or equivalent	Country (Birth or incorporation)	% participation
	If it is listed on the stock exchange indicate		¿It is PEP? ⁷	Birth/ Incorporation date	No. TIN	Country of tax residence
	Name of the stock exchange	Stock symbol				
			<input type="checkbox"/> Yes <input type="checkbox"/> No	MONTH/DAY/ YEAR		
Residence address				City	Country	

(5) Shareholder, partner or equivalent (direct) of the client or counterparty of Bancóldex

(6) The beneficial owner is a natural person who directly or indirectly owns more than 5% of the shares and equity of a legal entity, trust or an investment vehicle, or persons who exercise ultimate effective control over a of a legal entity, trust or an investment vehicle. It also incorporates those persons who are the real economical beneficiary of the future transactions and operations.

(7) Politically or Public Exposed Person includes persons mentioned in the Colombian Decree 1674 of 2016, legal representatives of international organizations and persons with local and international public recognition; such as Heads of State, Heads of Government, or ministers, among others.

5	Full Name		ID type	ID number or equivalent	Country (Birth or incorporation)	% participation
	If it is listed on the stock exchange indicate		¿It is PEP? ⁷	Birth/ Incorporation date	No. TIN	Country of tax residence
	Name of the stock exchange	Stock symbol				
			<input type="checkbox"/> Yes <input type="checkbox"/> No	MONTH/DAY/ YEAR		
	Residence address				City	Country
6	Full Name		ID type	ID number or equivalent	Country (Birth or incorporation)	% participation
	If it is listed on the stock exchange indicate		¿It is PEP? ⁷	Birth/ Incorporation date	No. TIN	Country of tax residence
	Name of the stock exchange	Stock symbol				
			<input type="checkbox"/> Yes <input type="checkbox"/> No	MONTH/DAY/ YEAR		
	Residence address				City	Country

6.2 Other related parties

Insert the information of your principal administrators / officers / managers or equivalents (members of the Board of Directors, Legal Representatives, External Auditor, Accountant, AML Compliance Officer and other principal officers rather than the Legal Representative that signs this form) in the "Form of Related Parties – legal entity"

7. Operations in currencies different from Colombian peso		
Type of operations or products in currencies different from Colombian peso that the company often does	Type of currency	Main countries
<input type="checkbox"/> Exports <input type="checkbox"/> Imports <input type="checkbox"/> Investments <input type="checkbox"/> Loans <input type="checkbox"/> Other (please specify) _____		

8. Representations and warranties	
I, acting on behalf of the legal entity, trust, or investment vehicle above mentioned, or acting on my own name, voluntarily, declare, under oath, that the information contained in this document is true and correct, and I make the following representations and warranties to Banco de Comercio Exterior de Colombia S.A. - BANCOLDX:	
8.1 Statement of source and destination of funds	
<p>a. I declare that the goods, services or resources, that I have transferred or will transfer to BANCOLDX, by me or by the legal entity, trust or investment vehicle that I represent, are originated from lawful sources and from the performance of the respective corporate purpose and economic activity. In case that the resources come from a different activity, please specify the source:</p> <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Financial incomes other than corporate purpose <input type="checkbox"/> Capitalization <input type="checkbox"/> Donation <input type="checkbox"/> Others, which: _____ </div> <div> <input type="checkbox"/> Sale of assets <input type="checkbox"/> Loans <input type="checkbox"/> Inheritance </div> </div>	
<p>b. I declare that I know the laws related to anti-money laundering and anti-terrorism financing in my jurisdiction, and the legal entity, trust or investment vehicle that I represent fulfills them. In addition, we have and apply as good commercial business practice a sufficient know your customer due diligence to my clients and suppliers and to the origin and destination of their resources, to prevent money laundering and the financing of terrorism.</p>	
<p>c. I declare that the resources and / or goods that I transfer do not come from, nor will be used for, any illegal activity of those contemplated in articles 323 and 345 of the Colombian Penal Code.</p>	
<p>d. I will not allow third parties, acting on behalf of the legal entity, trust, or investment vehicle above mentioned, or acting on my own name, to deliver funds, goods and / or services, arising from the illegal activities contemplated in the Colombian Penal Code, nor to carry out transactions intended for such activities or in favor of persons connected with them.</p>	
<p>e. In case of infringement of any of the numerals of this format, I authorize BANCOLDX to constitute ourselves in default and/or breach, declare the expiration of the term of any contract, business or relationship that it have with BANCOLDX. In addition, I release BANCOLDX for any liability arising from that action.</p>	

8.2 Authorization of consultation and reporting to credit and/or risk bureaus

For statistical purposes, and for control, supervision and commercial, financial and stock information, I hereby irrevocably authorize BANCOLDEX or anyone representing its rights and assets, to report, consult, process, and request or disclose to TransUnion, Datacredito and / or any other operator and / or source of information, all information regarding my behavior as a customer, supplier or counterparty. This authorization relates and applies to the execution, performance, modification, liquidation and / or extinction of past, present, future, direct or indirect obligations / liabilities under my responsibility or under the responsibility of the legal entity, trust or investment vehicle that I represent. The origin of the mentioned obligations can have as source the financial sector or real sector, lines of credits, loans, financial services, collections, contracts, acts, as well as any other financial, commercial or stock relationship, or judicial processes with BANCOLDEX and / or its subsidiaries or affiliates. The permanence of the information will be subject to the principles, terms and conditions established in the Colombian Law 1266 of 2008. I also irrevocably authorize BANCOLDEX to consult all financial, credit, commercial, services, stock exchange and other financial information related to my relations with the financial, commercial, stock exchange and services system in any country other than Colombia, at any time.

Additionally, according to the Colombian laws about "Habeas data" right, I authorize BANCOLDEX to collect, process and circulate my personal data or data of the entity than I represent. I declare that I understand that this information is and will be used for the development of BANCOLDEX's corporate purpose and, therefore, may be processed, collected, stored, used, updated, transmitted, put into circulation and in general, used by any treatment, according to the Colombian Law and BANCOLDEX's document "Políticas de Tratamiento de Protección de Datos Personales". In addition, I know that BANCOLDEX will guarantee the right to know, update and rectify the information provided by me or by the legal entity, trust, or investment vehicle above mentioned. This authorization is granted until the moment I express to BANCOLDEX its revocation.

8.3 Other statements and autorizations

a. I have the obligation to update the information and documentation required by BANCOLDEX, at least once a year, or sooner in case of modifications or variations thereof, or if BANCOLDEX requests it. BANCOLDEX reserves the right to request additional information and documentation.

b. I authorize BANCOLDEX to share with its subsidiaries, affiliates, funders and/or intermediaries of the resources, the information and documentation provided, including all related to operation(s)

c. Have you been sanctioned or investigated for money laundering or terrorist financing activities? ☐ Yes ☐ No
If the answer is YES, attach details (date, authority, reason and result or status).

d. I declare that:
• The persons related in this form, in the annex "Form of Related Parties - legal entity" or in the shareholders' optional documents are the only beneficial owners (natural persons) of the legal entity, trust or investment vehicle mentioned above.
• The persons listed on this form, in the annex "Form of Related Parties - legal entity" or shareholders and related parties' optional documents are the only Politically or Public Exposed Person of the legal entity, trust or investment vehicle mentioned above.

NOTE

BANCOLDEX will be able to reserve the right to accept or to reject this application without any explanation of its reasons. The processing of this form does not constitute a commercial offer.

This information and documentation is confidential and may be requested and consulted by Superintendencia Financiera de Colombia or any Colombian or abroad competent authority.

I certify that I read, understood and I accept the previous information and declarations.

LEGAL REPRESENTATIVE:

SIGNATURE

Name

ID type

ID number

Date (month/day/year)

City

Country

9. Required Documentation

No.	Documento	First time	Update
1	For legal entity, trust or investment vehicle, certificate of incorporation, certificate of good standing, certificate of existence and legal representation or equivalent documents, according to their place of incorporation, issued by a competent authority.	X	X
2	For natural person, identification document.	X	
3	For natural persons, legal entity, trust or investment vehicle, a document that contains the tax identification number (TIN) of the country of tax residence.	X	
4	For legal entity, trust or investment vehicle, audited financial statements of the last years, with explanatory notes.	2 years	1 year
5	For legal entity, trust or investment vehicle, fill out the Annex "Form of Related Parties - legal entity". In this Annex, you must state the following: a. In the event that shareholders, partners, associates, contributors, or equivalents, with a direct participation that exceeds 5% are legal entities, indicate the shareholders, partners or equivalent of the mentioned legal entities, or enclose an optional document that would contain the same information of partners, shareholders or equivalents. The legal representative or the external auditor must sign this optional document. b. Legal representatives, members of the Board of Directors or equivalent, and other related parties of the legal entity, with the information required in the mentioned format.	X	X
6	For legal entity that has the obligation to have an AML system, or in case that BANCÓLDEX requests it, please fill out the form.	X	X
7	Commercial references (only for natural or legal entities who are suppliers of BANCÓLDEX).	X	

To Bancóldex

Observations

Checked by	Area	Date	Signature
		MONTH/DAY/ YEAR	
Approved by	Area	Date	Signature
		MONTH/DAY/ YEAR	
PEP's approval , if it applies			
Name	Area	Date	Signature
		MONTH/DAY/ YEAR	